

**PERSONAL FINANCIAL DISCLOSURE**  
**“TIER 3”**  
**LSA-R.S. 42:1124.3**

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This form applies to:

- (1) Elected officials representing a voting district with a population of fewer than 5,000; and
  - (2) Candidates seeking office in a voting district with a population of fewer than 5,000.
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**1. Due annually by May 15<sup>th</sup>.**

**2. Candidates must file the statement within 10 days of filing a notice of candidacy for one of the above offices.**

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**INSTRUCTIONS**

Use as many pages of each section of the form as are required. Machine copies of the form's pages may be used. Complete all sections (if not applicable, so indicate). Please type or print. Use blue or black ink.

Please file the completed form with the Louisiana Board of Ethics by mail or facsimile at:

**P.O. Box 4368                      or                      (225) 381-7271**  
**Baton Rouge, LA 70821**

The report shall reflect income, amounts, and values for the activities with respect to employment, transactions, liabilities, etc. for the prior calendar year.

For the purposes of this form, the following definitions apply:

- **“Business”** means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.
- **“Income”** for a business means gross income less (i) costs of goods sold, and (ii) operating expenses.
- **“Income”** for an individual means taxable income and shall not include any income received pursuant to a life insurance policy.
- **“Public office”** means any state, parish, municipal, ward, district, or other office or position that is filled by election of the voters, except the president or vice president of the United States, presidential elector, delegate to the political party convention, US Senator, US congressman, or political party office.
- **LSA-R.S. 18:1505.2(L)(3)(a)** refers to (i) any person who holds a license or permit as a distributor of gaming devices, who holds a license or permit as a manufacturer of gaming devices, who holds a license or permit as a device service entity, and any person who owns a truck stop or a licensed pari-mutuel or off-track wagering facility which is a licensed device establishment, all pursuant to the Video Draw Poker Devices Control Law; (ii) any person who holds a license to conduct gaming activities on a riverboat, who holds a license or permit as a distributor or supplier of gaming devices

**Instructions** (continued):

or gaming equipment including slot machines, or who holds a license or permit as a manufacturer of gaming devices or gaming equipment including slot machines issued pursuant to the Louisiana Riverboat Economic Development and Gaming Control Act, and any person who owns a riverboat upon which gaming activities are licensed to be conducted, and (iii) any person who holds a license or entered into a contract for the conduct of casino gaming operations, who holds a license or permit as a distributor of gaming devices or gaming equipment including slot machines, or who holds a license or permit as a manufacturer of gaming devices or gaming equipment including slot machines issued pursuant to the Louisiana Economic Development and Gaming Corporation Act, and any person who owns a casino where such gaming operations are licensed.

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☐ ORIGINAL REPORT

☐ AMENDED REPORT

This Report Covers Calendar Year \_\_\_\_\_

Office Held or Position Sought \_\_\_\_\_

Date of Election \_\_\_\_\_ Date of Qualifying \_\_\_\_\_

Full Name of Filer: \_\_\_\_\_

Address:

Street \_\_\_\_\_ Apt. # \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

☐ (A) I certify that I have filed my federal income tax return for the previous year.

☐ (B) I certify that I have filed my state income tax return for the previous year.

or

☐ (A) I certify that I have filed for an extension of my federal income tax return for the previous year.

☐ (B) I certify that I have filed for an extension of my state income tax return for the previous year.

**CERTIFICATION OF ACCURACY**

**I do hereby certify that the information contained in this personal financial disclosure form is true and correct to the best of my knowledge and belief.**

\_\_\_\_\_  
Signature of Filer

**SCHEDULE A**  
**INCOME FROM THE STATE, POLITICAL SUBDIVISIONS,**  
**AND/OR GAMING INTERESTS**

The name, address, type, and amount of each source of income received by you or your spouse, or by any business in which you or your spouse, either individually or collectively, owns an interest which exceeds ten percent of that business, which is received from any of the following:

- the state or any political subdivision as defined in Article VI of the Constitution of Louisiana;
- services performed for or in connection with a gaming interest as defined in R.S. 18:1505.2L(3)(a).

**Note: For this page ONLY, the “amount of income” must be reported as an exact dollar figure.**

☐ Filer ☐ Spouse ☐ Business Amount of Income \$ \_\_\_\_\_

Name of Business, if applicable \_\_\_\_\_

Name of Source of Income \_\_\_\_\_

Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Address \_\_\_\_\_

Street

Suite #

City

State

Zip Code

☐ Filer ☐ Spouse ☐ Business Amount of Income \$ \_\_\_\_\_

Name of Business, if applicable \_\_\_\_\_

Name of Source of Income \_\_\_\_\_

Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Address \_\_\_\_\_

Street

Suite #

City

State

Zip Code

☐ Filer ☐ Spouse ☐ Business Amount of Income \$ \_\_\_\_\_

Name of Business, if applicable \_\_\_\_\_

Name of Source of Income \_\_\_\_\_

Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Address \_\_\_\_\_

Street

Suite #

City

State

Zip Code